

# SEMINAR ON PROOF OF THE LOCAL LANGLANDS CORRESPONDENCE FOR $GL(n)$ OVER $p$ -ADIC FIELDS

Winter 2023/2024

In this seminar, we want to understand Scholze's proof of the local Langlands conjecture for  $GL(n)$  over  $p$ -adic fields, cf. [Sch13], which simplifies substantially some arguments in the proof given by Harris-Taylor, cf. [H-T01]. The proof uses some global arguments, which are based on the study of some unitary Shimura varieties. For the history behind Harris-Taylor's proof, see [Har15]Chapter 9.

**Thm. (0.0.1)[LLC for  $GL(n)$ , Hasse(30)/Tunnell(78)/Kutzko(80)/Harris-Taylor[H-T01]/Henniart(84, 86, 88, 93, 00)[Hen00]].** Let  $p$  be a prime,  $K$  be a finite extension of  $\mathbb{Q}_p$  and  $\psi : K \rightarrow \mathbb{C}^\times$  be a non-trivial additive character. Then there exists a unique collection of bijections  $\{\text{rec}_n\}_{n \in \mathbb{Z}_+}$  between sets:

$$\text{rec}_n : \text{Irr}^{\text{adm}}(GL(n; K)) \xrightarrow{\cong} \text{Rep}_{\varphi\text{-ss}}^n(\text{WD}_K)$$

satisfying the following properties:

1. For a quasi-character  $\chi$  of  $K^\times$ ,  $\text{rec}_1(\chi) = \chi \circ \text{Art}_K^{-1}$ .
2. For a quasi-character  $\chi$  of  $K^\times$  and  $\pi \in \text{Irr}^{\text{adm}}(GL(n; K))$ ,

$$\text{rec}_n(\pi(\chi)) = \text{rec}_n(\pi) \otimes \text{rec}_1(\chi).$$

3. For any  $\pi \in \text{Irr}^{\text{adm}}(GL(n; K))$  with central character  $\omega$ ,

$$\det(\text{rec}_n(\pi)) = \text{rec}_1(\omega).$$

4. For any  $\pi \in \text{Irr}^{\text{adm}}(GL(n; K))$ ,  $\text{rec}_n(\pi^\vee) = \text{rec}_n(\pi)^*$ .

5. For any two  $\pi_1 \in \text{Irr}^{\text{adm}}(GL(n_1; K))$ ,  $\pi_2 \in \text{Irr}^{\text{adm}}(GL(n_2; K))$

$$L(\pi_1 \times \pi_2; s) = L(\text{rec}_{n_1}(\pi_1) \otimes \text{rec}_{n_2}(\pi_2); s), \quad \epsilon(\pi_1 \times \pi_2; s) = \epsilon(\text{rec}_{n_1}(\pi_1) \otimes \text{rec}_{n_2}(\pi_2); s).$$

Scholze defined some test functions, which appear naturally in the point-counting formula for bad reductions of Shimura varieties, generalizing the formula in [Kot92]. These test functions are constructed by moduli spaces of  $p$ -divisible groups and matching orbital integral, and can be generalized to more general PEL setting.

The rough idea is that one might hope to associate to any  $\tau \in W_K$  a function  $f_\tau \in C_c^\infty(GL(n; K))$  such that for any  $\pi \in \text{Irr}^{\text{adm}}(GL(n; K))$ , we have

$$\text{tr}(f_\tau | \pi) = \text{tr} \left( \tau \Big|_{\text{rec}_n(\pi)} \left( \frac{n-1}{2} \right) \right)$$

But this is too much to hope for, as then  $f_\tau$  would have non-zero trace on each component of the Bernstein center. So instead we add a ‘‘cut-off’’ function  $h \in C_c^\infty(GL(n; K))$  add associate a function  $f_{\tau, h} \in C_c^\infty(GL(n; K))$  s.t.

$$\text{tr}(f_{\tau, h} | \pi) = \text{tr}(\tau | \sigma_n(\pi)) \text{tr}(h | \pi).$$

Then conversely, Scholze can use these test functions to characterize the local Langlands correspondence:

**Thm. (0.0.2)[Theorem 1.2. of [Sch13]].**

- (a) For each  $n \in \mathbb{Z}_+$ , there is a unique map

$$\sigma_n : \text{Irr}^{\text{adm}}(GL(n; K)) \rightarrow \text{Rep}_{\psi\text{-ss}}^n(\text{WD}_K)$$

s.t. for any  $\tau \in W_K$  and any ‘‘cut-off’’ function  $h \in C_c^\infty(GL(n; K))$ ,

$$\text{tr}(f_{\tau, h} | \pi) = \text{tr}(\tau | \sigma_n(\pi)) \text{tr}(h | \pi),$$

where  $f_{\tau, h} \in C_c^\infty(GL(n; K))$  has matching twisted orbital integral with  $\varphi_{\tau, h} \in C_c^\infty(GL(n; K_{\text{deg } \tau}))$  via Clozel's base change(to be defined). Write  $\text{rec}'(\pi) = \sigma_n(\pi)(\frac{1-n}{2})$ .

- (b) If  $\pi \in \text{Irr}^{\text{adm}}(\pi)$  is a constituent of  $\pi_1 \times \dots \times \pi_r$ , then  $\text{rec}'(\pi) = \text{rec}'(\pi_1) \oplus \dots \oplus \text{rec}'(\pi_r)$ .
- (c)  $\text{rec}'$  induces a bijection between  $\text{Irr}^{\text{sup.cusp}}(\text{GL}(n; K))$  and  $\text{Irr}_{\varphi\text{-ss}}^n(W_K)$ .
- (d)  $\text{rec}'$  is compatible with twists, central characters, duals, and  $L$ - and  $\epsilon$ -factors of pairs, hence  $\text{rec}' = \text{rec}$  as in (0.0.1).

The proof of (a) and (b) of this main theorem uses induction on  $n$ :

**Lemma (0.0.3)** [Lemma 3.2. of [Sch13]]. For  $n \in \mathbb{Z}_+$ , suppose (a) and (b) of (0.0.2) hold for all  $n' < n$  and the following hold:

- (i) If  $\pi = \pi_1 \times \dots \times \pi_r \in \text{Rep}^{\text{adm}}(\text{GL}(n; K))$  where  $\pi_i \in \text{Irr}^{\text{adm}}(\text{GL}(n_i; K))$ , then

$$\text{tr}(f_{\tau, h}|\pi) = \text{tr} \left( \tau \Big| \bigoplus_{1 \leq i \leq r} \sigma(\pi_i) \left( \frac{n - n_i}{2} \right) \right) \text{tr}(h|\pi).$$

- (ii) For  $\pi \in \text{Irr}^{\text{adm}}(\text{GL}(n; K))$  that is either essentially square-integrable or a generalized Speh representation, then there exists a virtual representation  $\sigma(\pi)$  of  $W_K$  with  $\mathbb{Q}_+$  coefficients of dimension  $n$  s.t.

$$\text{tr}(f_{\tau, h}|\pi) = \text{tr}(\tau|\sigma(\pi)) \text{tr}(h|\pi).$$

- (iii) If  $\pi \in \text{Irr}^{\text{sup.cusp}}(\text{GL}(n; K))$ , then  $\sigma(\pi)$  is a genuine representation of  $W_K$ .

Then (a) and (b) of (0.0.2) hold true for  $n$ , by defining  $\sigma(\pi)$  as follows: If  $\pi$  has supercuspidal support  $\{\pi_1, \dots, \pi_r\}$  (with multiplicity), then we define

$$\sigma(\pi) = \bigoplus \sigma(\pi_i) \left( \frac{1 - n_i}{2} \right).$$

For the induction process, (i) is proved in Theorem 6.4. of [Sch13], by relating the deformation spaces of one-dimensional  $p$ -divisible groups to the deformation spaces of their infinitesimal parts.

(ii) is proved in Corollary 10.3 using global methods, especially the Langlands-Kottwitz point-counting method.

(iii) is proved by passing to the Lubin-Tate tower and then using the Jacquet-Langlands correspondence and the theory of newforms for  $\text{GL}(n)$ :

**Thm. (0.0.4)** [Supercuspidal Representations are Realized on Lubin-Tate Space, Theorem 1.4 of Scholze [Sch13]]. Let  $[R\psi_n]$  be the alternating sum of the global section of the vanishing cycles for the Lubin-Tate tower, then it's endowed with an admissible action of the subgroup  $A_{K, n} \subset \text{GL}(n; K) \times D_{K, 1/n}^\times \times W_K$  consisting of elements  $(\gamma, \delta, \sigma)$  s.t.

$$|\det \gamma|^{-1} \cdot |\text{Nmrd}(\delta)| \cdot |\text{Art}_K^{-1} \sigma| = 1$$

Let  $\rho \in \text{Irr}^{\text{adm}}(D_{K, 1/n}^*)$  s.t.  $\pi = \text{JL}(\rho) \in \text{Irr}^{\text{cusp}}(\text{GL}(n; K))$ . Then as virtual representations of  $\text{GL}(n; \mathcal{O}_K) \times W_K$ ,

$$[R\psi](\rho) = (-1)^{n-1} \pi^\vee|_{\text{GL}(n; \mathcal{O}_K)} \otimes \sigma(\pi).$$

The proof of (c) of (0.0.2) uses computation of  $I_K$ -invariant nearby cycles for simple Shimura varieties. This computation leads to a direct proof of the bijective correspondence for supercuspidal representations, without using the numerical local Langlands correspondence in [Hen93], in contrast to both [H-T01] and [Hen00].

Finally, the proof of (d) of (0.0.2) follows from Harris' arguments constructing cuspidal automorphic representations, cf. [Har93] and Henniart's method of twisting with highly ramified characters, cf. [Hen00].

Throughout the proof, we will refer back to other papers, for example [A-C89, Har93, Hen00, H-T01, Sch13a]. We want to mention Fargues-Scholze's geometric construction of the  $L$ -parameters, cf. [F-S21], but we mostly likely won't have time.

**0. Introduction.** Give overview of the local Langlands conjecture. Then outline the proof given in [Sch13]Section 1 and 3.

**1. Weil-Deligne representations and  $L$ -,  $\epsilon$ -factors.** Recall the definition and basic properties of complex Weil-Deligne representations of  $W_K$ . Then prove Theorem 4.2.1 and Corollary 4.2.2 of [Tat79], see [Del72]section 8 or [Lan], which gives the bijection between complex Weil-Deligne representations and continuous  $\ell$ -adic representations of  $W_F$ . Define the  $L$ - and  $\epsilon$ -factors for a Weil-Deligne representation of  $W_K$ , cf. [Wed08]3.2. In particular, prove the theorem of Deligne on the existence of  $\epsilon$ -factors, cf. [H-T01]section 4.

**2. Bernstein-Zelevinsky classification for  $GL(n)$  and  $L$ -,  $\epsilon$ -factors.** Recall the Bernstein-Zelevinsky classification for  $GL(n)$  following [Wed08]2.2 and [B-Z76, B-Z77]. Define the Bernstein center as in [Ber84] and [Sch11]section 2. Define  $L$ - and  $\epsilon$ -factors as in [Wed08]2.5 or [JPS83, J-S89]. Then give a sketch of the proof of the uniqueness, cf. [Hen93], in the formulation with generic representations; and deduce the supercuspidal version.

**3. Automorphic forms on  $D^\times$  and the simple unitary groups.** Define the simple unitary group  $G$  associated to a central division algebra  $D$  over a CM field, as in [H-T01]1.7. State the global Jacquet-Langlands correspondence. Then study Clozel's base change in detail as in [H-T01]Section 6.2: state Theorems 6.1.1, 6.2.1 and 6.2.9 loc.cit and sketch the proofs.

**4. Harris-Taylor's simple Shimura varieties.** Introduce Harris-Taylor's Shimura varieties and their integral models, as in [H-T01]3.1, 3.4, and [Sch13]section 8. Apply Theorem 3.4 and Theorem 5.3 of [Sch13a] to prove Lemma 5.5 and Corollary 5.6 of [Sch13a].

**5. Deformation spaces of  $p$ -divisible groups and the test functions.** Introduce the deformation spaces of  $p$ -divisible groups, and also formal nearby (vanishing) cycles of [Ber96]. Define the test function  $\varphi_{\tau,h}$  in section 2 of [Sch13]. Prove the base change identities on  $D^\times$  in Section 4 of loc.cit.

**6. Descent properties of the test function.** Prove the descent properties of the test functions  $\varphi_{\tau,h}$  by relating the deformation spaces of one-dimensional  $p$ -divisible groups to the deformation spaces of their infinitesimal parts, cf. section 5 and 6 of [Sch13]. Use these to prove Lemma 3.2(1) of loc.cit.

**7. Langlands-Kottwitz Method.** Relate the local test functions  $\varphi_{\tau,h}$  to global test functions for Harris-Taylor's Shimura varieties by proving Lemma 7.5 of [Sch13]. Introduce the Langlands-Kottwitz point-counting method, following [Sch13]section 9 and [Kot92]. Prove Theorem 9.3 and Corollary 9.4 of [Sch13].

**8.  $\ell$ -adic Galois representations attached to automorphic forms.** Recall Clozel's base change again as in [H-T01]Section 6.2, and use it to construct the virtual Weil representation  $\sigma(\pi)$  with  $\mathbb{Q}_+$  coefficients, cf. Corollary 10.3 of [Sch13]. If time permits, construct  $\ell$ -adic Galois representations attached to some regular algebraic conjugate self-dual cuspidal automorphic representations, cf. Theorem 10.6 of loc.cit, assuming the statements (a) and (b) of Theorem 1.2 of loc. cit.

**9. Bijective correspondence for irreducible supercuspidal representations.** Pass to the Lubin-Tate tower, then use the Jacquet-Langlands correspondence and the theory of newforms for  $GL(n)$  to prove that  $\sigma(\pi)$  is a genuine representation for  $\pi$  supercuspidal, cf. Corollary 11.5 of [Sch13]. Then prove the bijective correspondence for supercuspidal representations of  $GL(n)$ , cf. Theorems 12.1 and 12.3 of loc.cit.

**10. Compatibility of the correspondence.** Follows Harris' arguments in [Sch13]Section 13 or [Har93] to construct cuspidal automorphic representations associated to some Weil representations induced from a character, cf. Theorems 13.6 of [Sch13]. Then use Henniart's method of twisting with highly ramified characters, cf. Corollary 2.4 of [Hen00], to prove the compatibility of  $L$ - and  $\epsilon$ -factors and other compatibilities, cf. Theorem 14.1 of [Sch13].

## References

- [A-C89] J. Arthur and L. Clozel, Simple algebras, base change, and the advanced theory of the trace formula. *Ann. of Math. Stud.*, 120. Princeton University Press, Princeton, NJ, 1989. xiv+230 pp.
- [Ber84] J. N. Bernstein, Le “centre” de Bernstein, in “Representations of reductive groups over a local field”, *Travaux en Cours*, Hermann, Paris, 1984, 1-32.
- [Ber96] V. Berkovich, Vanishing cycles for formal schemes. II. *Invent. Math.*125(1996), no.2, 367–390.
- [Bum97] D. Bump, Automorphic forms and representations. *Cambridge Stud. Adv. Math.*, 55. Cambridge University Press, Cambridge, 1997. xiv+574 pp.
- [B-Z76] J. N. Bernstein and A. V. Zelevinskiĭ, Representations of the group  $GL(n; F)$ , where  $F$  is a local non-Archimedean field. *Uspehi Mat. Nauk*31(1976), no.3, 5–70.
- [B-Z77] J. N. Bernstein and A. V. Zelevinskiĭ, Induced representations of reductive  $p$ -adic groups. I. *Ann. Sci. École Norm. Sup.* (4)10(1977), no.4, 441–472.
- [Del72] P. Deligne, Les constantes des équations fonctionnelles des fonctions  $L$ , in “Modular functions of one variable, II” (*Proc. Internat. Summer School, Univ. Antwerp, Antwerp, 1972*), *Lecture Notes in Math.*, Vol. 349. Springer, Berlin, 1973, 501-597.
- [F-S21] L. Fargues and P. Scholze. Geometrization of the local Langlands correspondence. Preprint (2021). arXiv:2102.13459.
- [Har93] M. Harris, The local Langlands conjecture for  $GL(n)$  over a  $p$ -adic field,  $n < p$ . *Invent. Math.*134(1998), no.1, 177–210.
- [Har15] M. Harris, Mathematics without apologies. Portrait of a problematic vocation. Princeton University Press, Princeton, NJ, 2015. xxii+438 pp.
- [H-T01] M. Harris and R. Taylor, The geometry and cohomology of some simple Shimura varieties, *Ann. of Math. Stud.* 151, Princeton Univ. Press, 2001.
- [Hen93] G. Henniart, Caractérisation de la correspondance de Langlands locale par les facteurs  $\epsilon$  de paires. *Invent. Math.*113(1993), no.2, 339–350.
- [Hen00] G. Henniart, Une preuve simple des conjectures de Langlands pour  $GL(n)$  sur un corps  $p$ -adique. *Invent. Math.*139(2000), no.2, 439–455.
- [JPS83] H. Jacquet, I. I. Piatetskii-Shapiro, and J. A. Shalika, Rankin-Selberg convolutions. *Amer. J. Math.*105(1983), no.2, 367–464.
- [J-S89] H. Jacquet and J. A. Shalika, Rankin-Selberg convolutions: Archimedean theory. *Festschrift in honor of I. I. Piatetski-Shapiro on the occasion of his sixtieth birthday, Part I (Ramat Aviv, 1989)*, 125–207. *Israel Math. Conf. Proc.*, 2. Weizmann Science Press of Israel, Jerusalem, 1990.
- [Kot92] R. E. Kottwitz, Points on some Shimura varieties over finite fields, *J. Amer. Math. Soc.* 5 (1992), 373-444.
- [Kud91] S. S. Kudla, The local Langlands correspondence: the non-Archimedean case. *Motives (Seattle, WA, 1991)*, 365–391. *Proc. Sympos. Pure Math.*, 55, Part 2. American Mathematical Society, Providence, RI, 1994.
- [Lan] R. P. Langlands, On the functional equation of the Artin  $L$ -functions, <http://www.sunsite.ubc.ca/DigitalMathArchive/Langlands>.
- [Sch11] P. Scholze, The Langlands-Kottwitz approach for the modular curve. *Int. Math. Res. Not. IMRN*(2011), no.15, 3368–3425.

- 
- [Sch13a] P. Scholze, The Langlands-Kottwitz approach for some simple Shimura varieties, *Invent. Math.* 192 (2013), no.3, 627-661.
- [Sch13] P. Scholze, The local Langlands correspondence for  $GL(n)$  over  $p$ -adic fields, *Invent. Math.* 192 (2013), no.3, 663-715.
- [Tat79] J. T. Tate, Number theoretic background. Automorphic forms, representations and L-functions (Proc. Sympos. Pure Math., Oregon State Univ., Corvallis, Ore., 1977), Part 2, pp. 3-26. *Proc. Sympos. Pure Math.*, XXXIII. American Mathematical Society, Providence, RI, 1979.
- [Wed08] T. Wedhorn, The local Langlands correspondence for  $GL(n)$  over  $p$ -adic fields, in “School on Automorphic Forms on  $GL(n)$ ”, volume 21 of *ICTP Lect. Notes*, Abdus Salam Int. Cent. Theoret. Phys., Trieste, 2008, 237-320.