

Number Fields and Galois Theory

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- ▶ Occupation: co-existing human being
- ▶ Place of work: High school at VLACS
- ▶ Grade: 9th



Number Fields
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Theory

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Introduction

Number Fields

Factorizing Ideals

Galois Theory

- ▶ The Newman School in Boston
- ▶ 14 years old
- ▶ Grade 10

Introduction

Overview

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Overview

- ▶ Number theory from *Elementary Number Theory* by Jones and Jones

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- ▶ Number theory from *Elementary Number Theory* by Jones and Jones
 - ▶ Divisibility
 - ▶ Prime Numbers
 - ▶ Congruences
 - ▶ Congruences of Prime-Power Moduli
 - ▶ Euler's Function
 - ▶ The Group of Units
 - ▶ Quadratic Residues

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- ▶ Galois theory, especially in relation to number fields

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 - ▶ Quadratic Residues
- ▶ Number fields
- ▶ Galois theory, especially in relation to number fields
- ▶ Today's topic: number fields and Galois theory

Number Fields

Definition

A **field** F is a commutative ring containing the multiplicative identity where every non-zero element is a unit (has an inverse).

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Example

\mathbb{Q} , \mathbb{R} , and \mathbb{C} are all examples of fields.

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Example

\mathbb{Q} , \mathbb{R} , and \mathbb{C} are all examples of fields.

Non-Example

\mathbb{Z} (the ring of integers) is not a field since only 1 and -1 have a multiplicative inverse.

Finite Fields

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Definition

A **finite field** is a field with a finite number of elements.

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Example

$\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ is a finite field (p is prime).

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The element 1 in any finite field generates a subfield of size a prime number p .

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Proposition

Therefore every finite field is a finite extension of some \mathbb{F}_p .

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Therefore every finite field is a finite extension of some \mathbb{F}_p .

We denote these as \mathbb{F}_q where $q = p^k$.

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The n^{th} **roots of unity** are the n (distinct) complex solutions to $x^n = 1$.

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These are the powers of $\zeta_n := e^{\frac{2\pi i}{n}}$.

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Definition

The n^{th} cyclotomic field $\mathbb{Q}(\zeta_n)$, is the field consisting of $a_0 + a_1\zeta_n + a_2\zeta_n^2 + \cdots + a_{n-1}\zeta_n^{n-1}$ for $a_0, a_1, \dots, a_{n-1} \in \mathbb{Q}$.

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The n^{th} cyclotomic field $\mathbb{Q}(\zeta_n)$, is the field consisting of $a_0 + a_1\zeta_n + a_2\zeta_n^2 + \cdots + a_{n-1}\zeta_n^{n-1}$ for $a_0, a_1, \dots, a_{n-1} \in \mathbb{Q}$.

Remark: it actually has dimension $\phi(n)$ as a \mathbb{Q} -vector space, not n .

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Definition

Algebraic number fields K , also known as **number fields**, are finite degree extension fields of \mathbb{Q} .

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Algebraic number fields K , also known as **number fields**, are finite degree extension fields of \mathbb{Q} . In other words, the following conditions are satisfied:

- ▶ K is a field.
- ▶ $\mathbb{Q} \subseteq K$.
- ▶ K is a finite dimensional vector space over \mathbb{Q} .

Examples of Number Fields

Example

\mathbb{Q} , $\mathbb{Q}(i)$, $\mathbb{Q}(\sqrt{d})$, and $\mathbb{Q}(\zeta_n)$ are all number fields.

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The finite fields \mathbb{F}_q are not number fields because they do not contain \mathbb{Q} .

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The finite fields \mathbb{F}_q are not number fields because they do not contain \mathbb{Q} .

Non-Example

The fields \mathbb{R} , \mathbb{C} , and $\mathbb{Q}(\pi)$ (or any other transcendental number) are not number fields because they are infinite-dimensional vector spaces over \mathbb{Q} (alternatively, infinite-degree extensions).

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The fields \mathbb{R} , \mathbb{C} , and $\mathbb{Q}(\pi)$ (or any other transcendental number) are not number fields because they are infinite-dimensional vector spaces over \mathbb{Q} (alternatively, infinite-degree extensions).

Non-Example

The ring $\mathbb{Q}[x]/(x^2)$ is not a number field because it is not a field.

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Definition

The **minimal polynomial** for a constant α over a given field F is a monic polynomial $f(x)$ of minimum degree that is irreducible over F such that $f(\alpha) = 0$.

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The **minimal polynomial** for a constant α over a given field F is a monic polynomial $f(x)$ of minimum degree that is irreducible over F such that $f(\alpha) = 0$.

Essentially, the minimal polynomial is the smallest polynomial which still has α as a root.

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Example

$x^2 + 1$ is the minimal polynomial for i over the field \mathbb{R} .

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Theorem (Primitive Element Theorem)

Every finite extension of \mathbb{Q} is $\mathbb{Q}(\alpha)$ where α is a root of its minimal polynomial $f(x)$ over \mathbb{Q} .

In other words, every number field is realized by adjoining some **single** element to \mathbb{Q} !

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Example

$$\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11})$$

$\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11})$ would **still** be just \mathbb{Q} adjoin some single element.

Characterizing Number Fields

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$\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11})$ would **still** be just \mathbb{Q} adjoin some single element.

In fact, $\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11}) = \mathbb{Q}(\alpha)$ where $\alpha = \sqrt{2} + \sqrt{3} + \sqrt{5} + \sqrt{7} + \sqrt{11}$.

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Definition

The **ring of integers** of a number field K , denoted \mathcal{O}_K , is the subset of K whose minimal polynomial over \mathbb{Q} is monic and integer.

The field \mathbb{Q} is the fractions using \mathbb{Z} , and \mathbb{Z} is the "integer" part of \mathbb{Q} . In the same way, for a number field K , \mathcal{O}_K is the "integer" part of K , and K is the fractions of using \mathcal{O}_K .

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Proposition

$K \subset L$, where L is an extension of the field K , implies $\mathcal{O}_K \subset \mathcal{O}_L$.

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Examples of Rings of Integers

Example

The ring of integers of \mathbb{Q} is \mathbb{Z} .

Examples of Rings of Integers

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The ring of integers of $\mathbb{Q}(\sqrt{2})$ is $\mathbb{Z}[\sqrt{2}]$.

Example

The ring of integers of $\mathbb{Q}(\sqrt{d})$ for $d \equiv 1 \pmod{4}$ (and d squarefree) is actually $\mathbb{Z}\left[\frac{1+\sqrt{d}}{2}\right]$.

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Definition

A **prime ideal** of a commutative ring R is a proper ideal \mathfrak{p} such that for two elements $a_1, a_2 \in R$ and $a_1 a_2 \in \mathfrak{p}$ implies $a_1 \in \mathfrak{p}$, $a_2 \in \mathfrak{p}$, or $a_1, a_2 \in \mathfrak{p}$.

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Example

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Example

The only prime ideal of a field F is the zero ideal (0) .

Non-Example

The ideal $(3, x^2 + 11)$ of $\mathbb{Z}[x]$ is not prime since $x^2 + 11 - 3 \cdot 4 = x^2 - 1 = (x - 1)(x + 1)$, but neither $x - 1$ nor $x + 1$ is in the ideal.

Factorizing Ideals in \mathcal{O}_K

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Theorem

All rings of integers \mathcal{O}_K are Dedekind domains. All prime ideals are maximal ideals. Crucially, all ideals have unique factorization into prime ideals.

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► $\mathbb{Q} \subset K \implies \mathcal{O}_{\mathbb{Q}} = \mathbb{Z} \subset \mathcal{O}_K.$

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Theorem

All rings of integers \mathcal{O}_K are Dedekind domains. All prime ideals are maximal ideals. Crucially, all ideals have unique factorization into prime ideals.

- ▶ $\mathbb{Q} \subset K \implies \mathcal{O}_{\mathbb{Q}} = \mathbb{Z} \subset \mathcal{O}_K$.
- ▶ Prime ideal $p\mathbb{Z} \subset \mathbb{Z}$; lifting to \mathcal{O}_K , have $p\mathcal{O}_K$ (multiples of p in \mathcal{O}_K).

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- ▶ This is an ideal, but unlike $p\mathbb{Z}$, it is usually not prime.

Theorem

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- ▶ $\mathbb{Q} \subset K \implies \mathcal{O}_{\mathbb{Q}} = \mathbb{Z} \subset \mathcal{O}_K$.
- ▶ Prime ideal $p\mathbb{Z} \subset \mathbb{Z}$; lifting to \mathcal{O}_K , have $p\mathcal{O}_K$ (multiples of p in \mathcal{O}_K).
- ▶ This is an ideal, but unlike $p\mathbb{Z}$, it is usually not prime.
- ▶ We will study its prime factorization.

General Factorization Properties

Because $p\mathcal{O}_K$ is an ideal, it has prime factorization

$$p\mathcal{O}_K = \prod_{i=1}^r Q_i^{e_i},$$

where Q_i are prime ideals of \mathcal{O}_K .

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We already know that $\mathbb{Z}/p\mathbb{Z}$ is a field. On the other hand, \mathcal{O}_K/Q_i is also a field.

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Just as how \mathbb{Z} is a subring of \mathcal{O}_K , $\mathbb{Z}/p\mathbb{Z}$ is a subfield of \mathcal{O}_K/Q_i .

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Just as how \mathbb{Z} is a subring of \mathcal{O}_K , $\mathbb{Z}/p\mathbb{Z}$ is a subfield of \mathcal{O}_K/Q_i .

Definition

We will denote f_i to be the degree of the extension. In other words, $f_i := [\mathcal{O}_K/Q_i : \mathbb{Z}/p\mathbb{Z}]$.

Relationship of dimension with factorization

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Theorem

We have

$$[K : \mathbb{Q}] = \sum_{i=1}^r e_i f_i.$$

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Relationship of dimension with factorization

Theorem

We have

$$[K : \mathbb{Q}] = \sum_{i=1}^r e_i f_i.$$

Even better, when K/\mathbb{Q} is Galois (which we will define later):

Theorem

Let K/\mathbb{Q} be Galois. Then all of the e_i and f_i are the same, so

$$[K : \mathbb{Q}] = \text{ref.}$$

Computing The Factorization

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Computing The Factorization

By the Primitive element theorem, $K = \mathbb{Q}(\alpha)$. Let $f(x)$ be the minimal polynomial of α . It turns out that factorization of $p\mathcal{O}_K$ is as easy as factorizing $f(x)$ modulo p (for all but finitely many p).

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Example

- ▶ In $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$, $\alpha = \sqrt{2}$, and $f(x) = x^2 - 2$.

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Example

- ▶ In $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$, $\alpha = \sqrt{2}$, and $f(x) = x^2 - 2$.
- ▶ To factor $7\mathcal{O}_{\mathbb{Q}(\sqrt{2})}$, we just factor $x^2 - 2 \pmod{7}$.

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- ▶ To factor $7\mathcal{O}_{\mathbb{Q}(\sqrt{2})}$, we just factor $x^2 - 2 \pmod{7}$.
- ▶ $x^2 - 2 \equiv (x - 3)(x - 4) \pmod{7}$.

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- ▶ In $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$, $\alpha = \sqrt{2}$, and $f(x) = x^2 - 2$.
- ▶ To factor $7\mathcal{O}_{\mathbb{Q}(\sqrt{2})}$, we just factor $x^2 - 2 \pmod{7}$.
- ▶ $x^2 - 2 \equiv (x - 3)(x - 4) \pmod{7}$.
- ▶ Plug in $x = \alpha$ to get product of ideals:
 $7\mathcal{O}_{\mathbb{Q}(\sqrt{2})} = (7, \alpha - 3)(7, \alpha - 4)$.

Computing The Factorization

By the Primitive element theorem, $K = \mathbb{Q}(\alpha)$. Let $f(x)$ be the minimal polynomial of α . It turns out that factorization of $p\mathcal{O}_K$ is as easy as factorizing $f(x)$ modulo p (for all but finitely many p).

Example

- ▶ In $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$, $\alpha = \sqrt{2}$, and $f(x) = x^2 - 2$.
- ▶ To factor $7\mathcal{O}_{\mathbb{Q}(\sqrt{2})}$, we just factor $x^2 - 2 \pmod{7}$.
- ▶ $x^2 - 2 \equiv (x - 3)(x - 4) \pmod{7}$.
- ▶ Plug in $x = \alpha$ to get product of ideals:
 $7\mathcal{O}_{\mathbb{Q}(\sqrt{2})} = (7, \alpha - 3)(7, \alpha - 4)$.
- ▶ Degree of terms are all 1, so all $f_i = 1$.

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Is i or $-i$ the square root of -1 ?

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We arbitrarily choose i , but there is no real reason to pick one over another.

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In this case, let's look at the automorphisms of \mathbb{C} preserving \mathbb{R} .

These consist of $\{1, \sigma\}$ where 1 is the identity on \mathbb{C} and σ is complex conjugation.

Motivation

Is i or $-i$ the square root of -1 ?

We arbitrarily choose i , but there is no real reason to pick one over another.

In this case, let's look at the automorphisms of \mathbb{C} preserving \mathbb{R} .

These consist of $\{1, \sigma\}$ where 1 is the identity on \mathbb{C} and σ is complex conjugation.

Because complex conjugation is in here, we cannot tell i and $-i$ apart.

Motivation

Is i or $-i$ the square root of -1 ?

We arbitrarily choose i , but there is no real reason to pick one over another.

In this case, let's look at the automorphisms of \mathbb{C} preserving \mathbb{R} .

These consist of $\{1, \sigma\}$ where 1 is the identity on \mathbb{C} and σ is complex conjugation.

Because complex conjugation is in here, we cannot tell i and $-i$ apart.

Galois theory aims to quantify these issues.

Galois extensions

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Certain extensions (in our case, of number fields) behave better than others. We will study **Galois extensions**, but for the purposes of this talk we will ignore the technical details of how they are defined.

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Example

$\mathbb{Q}(i)/\mathbb{Q}$, $\mathbb{Q}(\zeta_n)/\mathbb{Q}$, and $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$ are all Galois extensions.

Galois group

Number Fields
and Galois
Theory

Garima Rastogi
and Xavier Choe

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Definition

Let $F \subset E$ be a Galois extension. The **Galois group** of E/F , denoted as $G = \text{Gal}(E/F)$, is the set of all automorphisms of E that map every element of F to itself.

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If $i \mapsto i$, then it is the identity on \mathbb{C} . If $i \mapsto -i$, it is complex conjugation on \mathbb{C} .

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$$\text{Gal}(\mathbb{C}/\mathbb{R}) = \{1, \sigma\}$$

Examples of Galois groups

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Example

- ▶ Consider $\text{Gal}(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$.
- ▶ Minimal polynomial: $x^2 - 2$, roots $\pm\sqrt{2}$.
- ▶ Galois group: $\{1, f\} \cong \mathbb{Z}/2\mathbb{Z}$, with 1 is the identity automorphism and f mapping $\sqrt{2}$ to $-\sqrt{2}$.

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- ▶ Consider $\text{Gal}(\mathbb{Q}(i, \sqrt{2})/\mathbb{Q})$.
- ▶ Galois group: $\{1, \alpha, \beta, \alpha\beta\} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$.
- ▶ 1 is identity; α fixes $\sqrt{2}$ and sends $i \mapsto -i$; β fixes i and sends $\sqrt{2} \mapsto -\sqrt{2}$.

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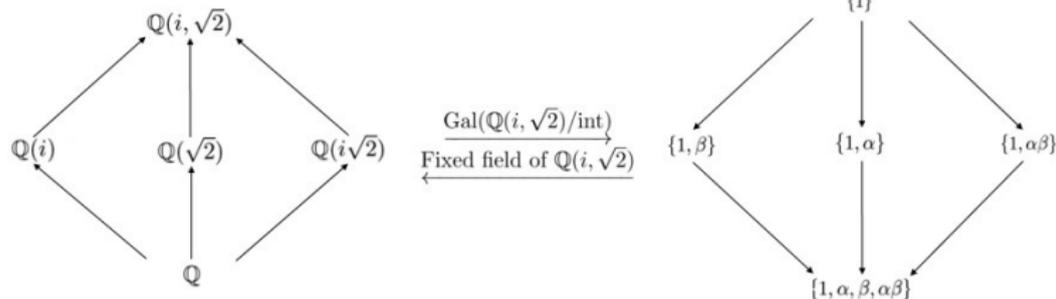
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We now look at a visual way to represent this.

Galois Correspondence



$$\text{Gal}(\mathbb{Q}(i, \sqrt{2})/\mathbb{Q}) = \{1, \alpha, \beta, \alpha\beta\}$$

$$\alpha(\sqrt{2}) = \sqrt{2}, \quad \alpha(i) = -i,$$

$$\beta(\sqrt{2}) = -\sqrt{2}, \quad \beta(i) = i,$$

$$\alpha\beta(\sqrt{2}) = -\sqrt{2}, \quad \alpha\beta(i) = -i.$$

Fundamental Theorem of Galois Theory

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Definition

Every finite Galois Extension and its subfields share a **1 to 1 correspondence** with the Galois Group and its subgroups.

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Definition

Every finite Galois Extension and its subfields share a **1 to 1 correspondence** with the Galois Group and its subgroups. These subfields and subgroups are in an *inclusion reversing bijection*.

Acknowledgments

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