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## Example

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  - The coefficient of  $x$  is the power of the polynomial.
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  - In general the coefficient of  $x^k$  corresponds to the number of ordered trees having  $n + 1$  leaves, all at level  $r$  and  $n + k + r$  edges.

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- The case  $r = 3$  is the one Caroline mostly dealt with.

## The case $r = 3$ .

For  $r = 3$  we encode the coefficients of  $(1 + x + x^2)^n$ , for  $n > 0$  in the following table:

$n = 1$		1	1	1								
$n = 2$		1	2	3	2	1						
$n = 3$		1	3	6	7	6	3	1				
$n = 4$		1	4	10	16	19	16	10	4	1		
$n = 5$		1	5	15	30	45	51	45	30	15	5	1
$\vdots$												

where the  $n$ -th row corresponds to the coefficients of  $(1 + x + x^2)^n$ .

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- The first question becomes more interesting.

Caroline will now tell us more about the number of nonzero coefficients for  $r = 3$  for various  $p$ 's although she will also give some results for larger  $r$ .



# Polynomial Coefficients over Finite Fields

Caroline Ellison  
MIT PRIMES

May 21, 2011

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  - Case  $p = 2$
  - Case  $p = 3$ , using *Lucas Theorem*.

# Lucas Theorem

## Theorem

Let  $\sum_{i=0}^r a_i p^i$  and  $\sum_{i=0}^r b_i p^i$  be the base  $p$  expansions of  $a$  and  $b$  respectively. Then

$$\binom{a}{b} \equiv \prod_{i=0}^r \binom{a_i}{b_i} \pmod{p}.$$

# Notation

$$f_p(n) = \left\{ \begin{array}{l} \text{number of nonzero coefficients} \\ \text{of } (1 + x + x^2)^n \pmod{p} \end{array} \right\}$$

$$p = 3$$

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$$\text{then } f_3(n) = \prod_{i=0}^r (1 + a_i)$$

Lucas Theorem applies because  $(1 + x + x^2) \equiv (1 - x)^2 \pmod{3}$ .  
This result is due to R. Stanley and T. Amdeberhan.

$$p = 2$$

Write  $n$  as  $n = \sum_{i=1}^r 2^{j_i} (2^{k_i} - 1)$ , i.e. splits binary expansion of  $n$  into maximal strings of 1's.

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$$\begin{aligned} 54 &= 2 + 2^2 + 2^4 + 2^5 \\ &= \underline{110110}_2 \\ &= 2(2^2 - 1) + 2^4(2^2 - 1) \end{aligned}$$

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$$f_2(2^k - 1) = \begin{cases} \frac{2^{k+2}+1}{3} & k \text{ odd} \\ \frac{2^{k+2}-1}{3} & k \text{ even} \end{cases}$$

and  $f_2(n) = \prod_{i=1}^r f_2(2^{k_i} - 1)$

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- 2 found a formula that works for some particular digits in the expression of  $n$  in base  $p$
- 3 found answer for all  $p$  for selected values of  $n$
- 4 found expressions for coefficients when  $1 + x + x^2$  is reducible mod  $p$

# 1. Generalization to $f(x) = (1 + x + \dots + x^{p-1})^n$

The generalization of  $p = 3$  to every  $p$  uses Lucas Theorem. We were able to use it because  $(1 + x + \dots + x^{p-1}) \equiv (1 - x)^{p-1} \pmod{p}$ .

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## Proposition

If  $\sum_{i=0}^r a_i p^i$  is the base  $p$  expansion of  $np - n$  then  $f_p(n) = \prod_{i=0}^r (1 + a_i)$ .

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**NOT TRUE**

It is true if  $a_i \in \{0, 1, 2\}$ . In general we have the following:



## Proposition

If  $n = \sum_{i=0}^r a_i p^i$  is the base  $p$  expansion of  $n$ , and if  $a_i \in \{0, 1, \dots, \frac{p-1}{2}\}$ , then

$$f_p(n) = \prod_{i=0}^r f_p(a_i).$$

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coefficients of  $(1 + x + x^2)^{p^k - 1}$  in  $\mathbb{F}_p$  alternate in the following way:

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$$f_p(p^k - 1) = \begin{cases} \frac{4p^k - 1}{3} & p^k \equiv 1 \pmod{3} \\ \frac{4p^k + 1}{3} & p^k \equiv 2 \pmod{3} \end{cases}$$

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We found the coefficients by starting at  $n = p^k$  and working backwards by dividing.

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- if  $n = p^k - 2$ , then  $f(n) = 2p^k - 2p^{k-1} - 1$  ( $p \equiv 1 \pmod{3}$  or  $k$  odd) or  $2p^k - 2p^{k-1} + 1$  ( $p \equiv 2 \pmod{3}$  and  $k$  even)

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- if  $n = p^k - 3$ , then  $f(n) = \frac{1}{3}(6p^k - 10p^{k-1} - 5)$  ( $p \equiv 1 \pmod{3}$  or  $k$  odd) or  $\frac{1}{3}(6p^k - 10p^{k-1} + 5)$  ( $p \equiv 2 \pmod{3}$  and  $k$  even)



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- if  $n = p^k - 3$ , then  $f(n) = \frac{1}{3}(6p^k - 10p^{k-1} - 5)$  ( $p \equiv 1 \pmod{3}$  or  $k$  odd) or  $\frac{1}{3}(6p^k - 10p^{k-1} + 5)$  ( $p \equiv 2 \pmod{3}$  and  $k$  even)
- if  $n = p^k - 4$ , then  $f(n) = 2p^k - 6p^{k-1} - 2$  ( $p \equiv 1 \pmod{3}$ ),  $2p^k - 6p^{k-1} + 1$  ( $p \equiv 2 \pmod{3}$  and  $k$  even), or  $2p^k - 6p^{k-1} - 1$  ( $p \equiv 2 \pmod{3}$  and  $k$  odd)

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Fact: The polynomial  $(1 + x + x^2)$  is reducible in  $\mathbb{F}_p$  iff  $p \equiv 1 \pmod{3}$ .

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### Proposition

Let  $a$  be a root of the polynomial  $(1 + x + x^2)^n$ , then for  $d < n$ ,

$$a_d = (-1)^d \sum_{k=0}^d \binom{n}{k} \binom{n}{d-k} a^{2d-k},$$

where  $a_d$  is the coefficient of  $x^d$ .

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$$(-1)^{3d+1} \sum_{k=0}^{3d+1} \binom{n}{k} \binom{n}{3d+1-k} 2^{2-k} \equiv 1 \pmod{7}$$



# Acknowledgments

My mentor, Giorgia Fortuna, for her insight, hard work, and patience.

Professor Richard P. Stanley for suggesting this problem.

The PRIMES program for providing me with this experience.

My family, for their love and support.