# Newforms for odd orthogonal groups

**Benedict Gross** 

Harvard University

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Let *V* be an orthogonal space of signature (n + 1, n) over  $\mathbb{R}$ . Let  $G = SO(V)(\mathbb{R}) = SO(n + 1, n)$   $G/G^0 = \mathbb{R}^*/\mathbb{R}^{*2}$ .

Fix a decomposition into definite subspaces  $V = V_+ \oplus V_ K = S(O(V_+) \times O(V_-))$   $K^0 = SO(V_+) \times SO(V_-).$ 

Let *T* be a maximal torus in *K*. The discrete series for *G* are parametrized by orbits of the compact Weyl group  $W_K$  on the set of regular elements  $\alpha \in X^*(T) + \rho$ .

A regular element  $\alpha$  determines a root basis  $\Delta$  for T.

The discrete series representation  $\pi_{\alpha}$  is **generic** if and only if all of the roots in the basis  $\Delta$  are **non-compact**.

Since  $W_{\mathcal{K}}$  acts transitively on the generic chambers, we may assume that the generic discrete series representation  $\pi_{\alpha}$  has parameter in the standard Weyl chamber, where the simple roots

$$\Delta = \{\epsilon_1 - \epsilon_2, \epsilon_2 - \epsilon_3, \dots, \epsilon_{n-1} - \epsilon_n, \epsilon_n\}$$

are all non-compact.

The parameter  $\alpha$  then has the form

$$\alpha = (\alpha_1 > \alpha_2 > \ldots > \alpha_{n-1} > \alpha_n > \mathbf{0})$$

where the  $\alpha_i$  are all half integers.

A generic limit discrete series has parameter  $\alpha$  in the closure of this chamber

$$\alpha = (\alpha_1 \ge \alpha_2 \ge \ldots \ge \alpha_{n-1} \ge \alpha_n > \mathbf{0})$$



The minimal *K*-type  $V_{\lambda}$  in the generic limit discrete series  $\pi_{\alpha}$  has highest weight

$$\lambda = \alpha + \rho_{\rm n} - \rho_{\rm c}$$

Since  $\rho_n - \rho_c = (\frac{1}{2}, \frac{1}{2}, ..., \frac{1}{2})$  we have

$$\lambda = (\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_n \geq 1).$$

What does this mean?

Since the simple roots are all non-compact, the simple compact roots are

$$\{\epsilon_1 - \epsilon_3, \epsilon_2 - \epsilon_4, \epsilon_3 - \epsilon_5, \dots, \epsilon_{n-2} - \epsilon_n, \epsilon_{n-2} + \epsilon_n, \epsilon_{n-1}\}.$$

This is the union of two orthogonal subsets, determined by the parity of the subscripts.

When n = 6, so G = SO(7, 6), the simple compact roots are

$$\{\epsilon_1 - \epsilon_3, \epsilon_3 - \epsilon_5, \epsilon_5\} \cup \{\epsilon_2 - \epsilon_4, \epsilon_4 - \epsilon_6, \epsilon_4 + \epsilon_6\}.$$

These are the simple roots for SO(7) and SO(6).

Since

$$\lambda = (\lambda_1 \ge \lambda_2 \ge \lambda_3 \ldots \ge \lambda_n \ge 1).$$

the representation  $V_{\lambda}$  is induced from the representation  $V_{\mu} \otimes V_{\nu}$  of the product SO( $V_{+}$ ) × SO( $V_{-}$ ), with

$$\mu = (\lambda_1 \ge \lambda_3 \ge \ldots) \quad \nu = (\lambda_2 \ge \lambda_4 \ge \ldots).$$

Since these weights are **interlaced**, we conclude from the classical branching formula that  $V_{\mu} \otimes V_{\nu}$  has a **unique line** fixed by the diagonally embedded subgroup SO( $V_{-}$ ).

Passing to the induced representation  $V_{\lambda}$ , we have the following.

## Theorem

Let  $\pi$  be a generic limit discrete series representation of G = SO(n + 1, n).

Then there is a unique line in the minimal K-type which is fixed by the subgroup  $H = O(n) \rightarrow K = S(O(n+1) \times O(n))$ .

When n = 1,

$$G = SO(2, 1) = PGL(2, \mathbb{R}), \ K = O(2), \ H = O(1).$$

The minimal *K*-type of every discrete series has dimension 2.

When n = 2m and  $\lambda = (1, 1, 1, ..., 1)$ ,

$$V_{\lambda} = \wedge^m(V_+) \otimes \wedge^m(V_-) = \wedge^m(2m+1) \otimes \wedge^m(2m).$$

Is there an analogous result for generic representations of the split odd orthogonal group over  $\mathbb{Q}_p$ ?

Let *V* be a vector space of dimension 2n + 1 over  $\mathbb{Q}$  with basis  $\{e_1, e_2, \ldots, e_n, v, f_n, f_{n-1}, \ldots, f_1\}$  and non-zero inner products

$$\langle e_i, f_i \rangle = 1, \ \langle v, v \rangle = 2.$$

$$A = \begin{bmatrix} & & & 1 \\ & & 1 & \\ & 2 & & \\ & 1 & & \\ 1 & & & \end{bmatrix}.$$
 (1)

Let G = SO(V) over  $\mathbb{Q}$ .  $G(\mathbb{R}) = SO(V \otimes \mathbb{R}) = SO(n+1, n)$ 

Let L(1) be the  $\mathbb{Z}_p$ -lattice in  $V \otimes \mathbb{Q}_p$  spanned by

$$\{e_1, e_2, \dots, e_n, v, f_n, f_{n-1}, \dots, f_1\}$$

Let K(1) be the hyperspecial maximal compact subgroup which stabilizes L(1), with reduction SO(2n + 1, p)..

For  $a \ge 1$  let  $L(p^a)$  be the  $\mathbb{Z}_p$ -lattice in  $V \otimes \mathbb{Q}_p$  spanned by

$$\{e_1, e_2, \dots, e_n, p^a.v, p^a.f_n, p^a.f_{n-1}, \dots, p^a.f_1\}$$

The scaled inner product  $\frac{1}{p^a}\langle , \rangle$  is integral on  $L(p^a)$ .

Its radical (mod p) is spanned by the image of  $p^a$ .v.

Let  $J(p^a)$  be the compact open subgroup which stablilzes the lattice  $L(p^a)$ . Then  $J(p^a)$  has reduction O(2n, p).

Let  $K(p^a)$  be the subgroup of  $J(p^a)$  which reduces to SO(2*n*, *p*).

# Theorem (Pei-Yu Tsai)

Let  $\pi$  be a generic irreducible representation of the *p*-adic group  $G(\mathbb{Q}_p) = SO(V)(\mathbb{Q}_p)$ .

There is a unique integer  $f \ge 0$  such that the open compact subgroup  $K(p^f)$  fixes a one dimensional subspace of  $\pi$ . The fixed space of  $K(p^a)$  is zero for all a < f and has dimension greater than one for all a > f.

The integer f is the Artin conductor of the standard representation M of the Langlands parameter of  $\pi$ , which is a symplectic representation of dimension 2n of the Weil-Deligne group of  $\mathbb{Q}_p$ .

When  $f \ge 1$  the action of the quotient group  $J(p^f)/K(p^f)$  on the line fixed by  $K(p^f)$  is given by the local epsilon factor  $\epsilon_p(M) = \pm 1$ .

When n = 1, G = PGL(2),  $K(p^a) = \Gamma_0(p^a)$ , and generic = infinite dimensional.

In this case, the theorem was proved by W. Casselman in 1973.

When n = 2, G = PGSp(4) and  $K(p^a)$  are the paramodular subgroups of B. Roberts and R. Schmidt.

The general definition of open compact subgroups was suggested by A. Brumer, and the proof of the theorem relies on an integral representation of the standard *L*-function  $L(\pi_p, M, s)$  of a generic representation found by D. Soudry.

For the Steinberg representation f = 2n - 1 and  $\epsilon_p = -1$ .

For depth zero supercuspidal representations f = 2n.

For the generic limit discrete series over  $\ensuremath{\mathbb{R}}$ 

$$L(\pi_{\infty}, \boldsymbol{M}, \boldsymbol{s}) = \prod \Gamma_{\mathbb{C}}(\boldsymbol{s} + \lambda_{i}) \quad \epsilon_{\infty}(\boldsymbol{M}) = (-1)^{\sum \lambda_{i}}.$$

Let *M* be a motive of weight -1 and rank 2n over  $\mathbb{Q}$  with a non-degenerate symplectic pairing

$$M \times M \rightarrow \mathbb{Q}(1).$$

 $M = H_1(X)$  for a curve X of genus n  $M = H^{2m-1}(X)(m)$ The  $\ell$ -adic realizations  $M_\ell$  give Galois representations

$$Gal(\overline{\mathbb{Q}}/\mathbb{Q}) \to \operatorname{GSp}(M_{\ell}) = \operatorname{GSp}_{2n}(\mathbb{Q}_{\ell}).$$

If  $M = H_1(X)$  then  $M_{\ell} = T_{\ell}(J)$  with the Weil pairing.

The restriction to a decomposition group at the prime  $p \neq \ell$ gives the Langlands parameter of a generic, irreducible representation  $\pi_p(M)$  of SO(*V*)( $\mathbb{Q}_p$ ), whose conductor is equal to the Artin conductor of the Galois representation  $M_\ell$ . The Hodge realization gives *n* pairs  $(p_i, q_i) + (q_i, p_i)$  with  $p_i + q_i = -1$ .

Assume that  $p_i \ge 0$  and that  $p_1 \ge p_2 \ge \ldots \ge p_n$ .

$$\alpha = (\frac{p_1 - q_1}{2}, \frac{p_2 - q_2}{2}, \dots, \frac{p_n - q_n}{2})$$

is the parameter of a generic limit discrete series  $\pi_{\infty}(M)$  of SO(n + 1, n), whose minimal K type has highest weight

$$\lambda = (p_1 + 1, p_2 + 1, \dots, p_n + 1)$$

If  $M = H_1(X)$  for a curve X, then all (p, q) = (0, -1) and

$$\lambda = (1, 1, \ldots, 1).$$

Note that  $\pi_{\infty}$  is **not** in the discrete series when the genus of *X* satisfies  $n \ge 2$ .

Recall that G = SO(V) over  $\mathbb{Q}$ .

Since the irreducible representations  $\pi_p(M)$  of  $G(\mathbb{Q}_p)$  are unramified for almost all primes p, we may form the restricted tensor product over all places v of  $\mathbb{Q}$ 

$$\pi(M) = \bigotimes \pi_{v}(M).$$

This is an irreductible generic representation of the adelic group  $G(\mathbb{A})$ , associated to the symplectic motive *M*.

Langlands conjectures that the representation  $\pi(M)$  is automorphic, or more precisely that the complex vector space

$$Hom_{G(\mathbb{A})}(\pi(M), \mathcal{F}(G(\mathbb{Q}) \setminus G(\mathbb{A})))$$

has dimension one. This would imply that the global *L*-function L(M, s) has an analytic continuation and a functional equation.

We want to refine this conjecture, in the style of Weil's precise conjecture for elliptic curves over  $\mathbb{Q}$ , by fixing a line in the adelic representation  $\pi(M) = \bigotimes \pi_{\nu}(M)$ .

Let  $N = \prod p^{f(p)}$  be the Artin conductor of the Galois representation  $M_{\ell}$ .

Recall the basis  $\{e_1, \ldots, e_n, v, f_n, \ldots, f_1\}$  of *V*, and let L(N) be the lattice in *V* which is the  $\mathbb{Z}$  span of the vectors  $\{e_1, e_2, \ldots, N.v, N.f_n, \ldots, N.f_1\}$ 

For every prime p, the lattice  $L(N) \otimes \mathbb{Z}_p = L(p^f)$  in  $V \otimes \mathbb{Q}_p$ defines an open compact subgroup  $K_p = K(p^f)$  of  $G(\mathbb{Q}_p)$  which fixes a one dimensional subspace of  $\pi_p(M)$ .

We want to define a line in  $\pi_{\infty}(M)$ .

Let  $V_+$  ve the positive definite subspace of  $V \otimes \mathbb{R}$  spanned by the n + 1 vectors  $e_i + f_i$  and v, and let  $V_-$  be the negative definite subspace spanned by the *n* vectors  $e_i - f_i$ .

The decomposition  $V = V_+ \oplus V_-$  defines the subgroup  $K_{\infty}$  of  $G(\mathbb{R})$  and hence a subspace  $V_{\lambda}$  of  $\pi_{\infty}(M)$ .

Let  $\theta$  be the anti-isometry  $\theta: V_{-} \rightarrow V_{+}$  mapping  $e_i - f_i$  to  $e_i + f_i$ .

This induces  $\eta : O(V_{-}) \to O(V_{+})$  defined by  $\eta(g) = (\theta.g.\theta^{-1}, 1)$ , where  $\theta^{-1}$  is defined on the orthogonal complement of v.

We let  $H_{\infty}$  be the subgroup of  $K_{\infty}$  defined by the image of the diagonal embedding

$$O(V_-) 
ightarrow K_\infty = S(O(V_+) imes O(V_-))$$

Then  $H_{\infty}$  fixes a line in  $V_{\lambda}$ .

## Corollary

The compact subgroup  $H_{\infty} \times \prod K_p$  of  $G(\mathbb{A})$  is well-defined up to conjugacy by  $G(\mathbb{Q})$  and fixes a distinguished line in the generic representation  $\pi(M) = \bigotimes \pi_v(M)$  of  $G(\mathbb{A})$ .

The combination with Langlands's conjecture gives a **newform** 

$${\sf F}:{\sf G}({\mathbb Q})ackslash{{\sf G}}({\mathbb A})/({\sf H}_\infty imes\prod{\sf K}_{
ho}) o {\mathbb C}$$

associated to the symplectic motive *M*.

The function *F* is only defined up to scaling.

$$a_1(F) = \int_{U(\mathbb{Q})\setminus U(\mathbb{A})} F(u)\psi(u)du = 1$$

where  $\psi : U(\mathbb{Q}) \setminus U(\mathbb{A}) \to \mathbb{C}^*$  is an integral generic character.

The newform F is determined by its restriction to the real group

 $F_\infty: \Gamma_0(N) \backslash G(\mathbb{R}) / H_\infty \to \mathbb{C},$ 

where  $\Gamma_0(N)$  is the arithmetic group determined by the lattice L(N) in  $V \otimes \mathbb{R}$ .

There is a vector valued function

 $J: \Gamma_0(N) ackslash G(\mathbb{R}) o V_\lambda$ J(gk) = k.J(g)

The inner product with the *H*-invariant line in  $V_{\lambda}$  recovers  $F_{\infty}$ .

For n = 1, G = PGL(2) and we get a harmonic newform:

$$H(\tau) = \sum_{n \ge 1} a_n (q^n + \bar{q}^n) = 2 \cdot \sum_{n \ge 1} a_n e^{-2\pi n y} \cos(2\pi n x)$$

with  $a_1 = 1$ .

The coefficients  $a_n$  in this expansion are real numbers, so the function *H* takes real values.

This should also be true for the newform  $F_{\infty}$  on  $G(\mathbb{R})$  with  $a_1(F) = 1$ .

The infinitesimal character  $\alpha$  of  $\pi_{\infty}(M)$  puts  $F_{\infty}$  in a finite dimensional real vector space, which should be explicitly computable.

The equivariant tangent bundle of the homogeneous space SO(n + 1, n)/O(n) has a sub line bundle fixed by O(n).

#### Thanks – and see you soon, David.



I'll miss your old office.